

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

PCT

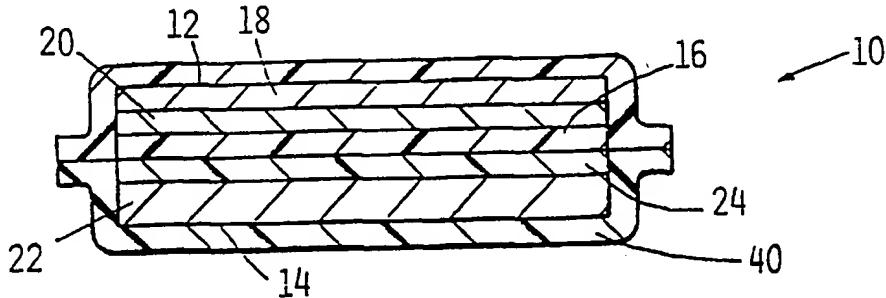
WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : H01M 4/58, 4/48, C01B 25/30		A1	(11) International Publication Number: WO 00/57505 (43) International Publication Date: 28 September 2000 (28.09.00)
(21) International Application Number: PCT/US00/04401 (22) International Filing Date: 22 February 2000 (22.02.00)		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(30) Priority Data: 09/274,371 23 March 1999 (23.03.99) US (63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application US 09/274,371 (CON) Filed on 23 March 1999 (23.03.99)		Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.	
(71) Applicant (for all designated States except US): VALENCE TECHNOLOGY, INC. [US/US]; 301 Conestoga Way, Henderson, NV 89015 (US). (72) Inventor; and (75) Inventor/Applicant (for US only): BARKER, Jeremy [GB/US]; 15709 N.E. 98th Way, Redmond, WA 98052 (US). (74) Agents: DESCHERE, Linda, M. et al.; Young & Basile, P.C., 3001 West Big Beaver Road #624, Troy, MI 48084-3107 (US).			

(54) Title: LITHIUM-CONTAINING PHOSPHATE ACTIVE MATERIALS



(57) Abstract

The invention provides novel lithium-containing phosphate materials having a high proportion of lithium per formula unit of the material. Upon electrochemical interaction, such material deintercalates lithium ions, and is capable of reversibly cycling lithium ions. The invention provides a rechargeable lithium battery which comprises an electrode formed from the novel lithium-containing phosphates.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon	KR	Republic of Korea	PL	Poland		
CN	China	KZ	Kazakhstan	PT	Portugal		
CU	Cuba	LC	Saint Lucia	RO	Romania		
CZ	Czech Republic	LI	Liechtenstein	RU	Russian Federation		
DE	Germany	LK	Sri Lanka	SD	Sudan		
DK	Denmark	LR	Liberia	SE	Sweden		
EE	Estonia			SG	Singapore		

LITHIUM-CONTAINING PHOSPHATE ACTIVE MATERIALS

5

Field of the Invention

This invention relates to improved materials usable as electrode active materials, method for making such improved materials, and electrodes formed from it 10 for electrochemical cells in batteries.

Background of the Invention

Lithium batteries are prepared from one or 15 more lithium electrochemical cells containing electrochemically active (electroactive) materials. Such cells typically include an anode (negative electrode), a cathode (positive electrode), and an electrolyte interposed between spaced apart positive and 20 negative electrodes. Batteries with anodes of metallic lithium and containing metal chalcogenide cathode active material are known. The electrolyte typically comprises a salt of lithium dissolved in one or more solvents, typically nonaqueous (aprotic) organic solvents. Other 25 electrolytes are solid electrolytes typically called polymeric matrixes that contain an ionic conductive medium, typically a metallic powder or salt, in combination with a polymer that itself may be ionically conductive which is electrically insulating. By 30 convention, during discharge of the cell, the negative electrode of the cell is defined as the anode. Cells having a metallic lithium anode and metal chalcogenide cathode are charged in an initial condition. During 35 discharge, lithium ions from the metallic anode pass through the liquid electrolyte to the electrochemical active (electroactive) material of the cathode whereupon they release electrical energy to an external circuit.

It has recently been suggested to replace the lithium metal anode with an intercalation anode, such as a lithium metal chalcogenide or lithium metal oxide. Carbon anodes, such as coke and graphite, are also intercalation materials. Such negative electrodes are used with lithium- containing intercalation cathodes, in order to form an electroactive couple in a cell. Such cells, in an initial condition, are not charged. In order to be used to deliver electrochemical energy, such cells must be charged in order to transfer lithium to the anode from the lithium- containing cathode. During discharge the lithium is transferred from the anode back to the cathode. During a subsequent recharge, the lithium is transferred back to the anode where it reintercalates. Upon subsequent charge and discharge, the lithium ions (Li^+) are transported between the electrodes. Such rechargeable batteries, having no free metallic species are called rechargeable ion batteries or rocking chair batteries. See U.S. Patent Numbers 5,418,090; 4,464,447; 4,194,062; and 5,130,211.

Preferred positive electrode active materials include $LiCoO_2$, $LiMn_2O_4$, and $LiNiO_2$. The cobalt are relatively expensive and the nickel compounds are difficult to synthesize. A relatively economical positive electrode is $LiMn_2O_4$, for which methods of synthesis are known. The lithium cobalt oxide ($LiCoO_2$), the lithium manganese oxide ($LiMn_2O_4$), and the lithium nickel oxide ($LiNiO_2$) all have a common disadvantage in that the charge capacity of a cell comprising such cathodes suffers a significant loss in capacity. That is, the initial capacity available (amp hours/gram) from $LiMn_2O_4$, $LiNiO_2$, and $LiCoO_2$ is less than the theoretical capacity because less than 1 atomic unit of lithium engages in the electrochemical reaction. Such an initial capacity value is significantly diminished during the first cycle operation and such capacity further diminishes on every successive cycle of

operation. For LiNiO_2 and LiCoO_2 only about 0.5 atomic units of lithium is reversibly cycled during cell operation. Many attempts have been made to reduce capacity fading, for example, as described in U.S. 5 Patent No. 4,828,834 by Nagaura et al. However, the presently known and commonly used, alkali transition metal oxide compounds suffer from relatively low capacity. Therefore, there remains the difficulty of obtaining a lithium-containing chalcogenide electrode 10 material having acceptable capacity without disadvantage of significant capacity loss when used in a cell.

Summary of the Invention

The invention provides novel lithium-containing phosphate materials, desirably lithium-containing halogen-containing phosphates and preferably lithium-containing fluorophosphates, having a high proportion of lithium per formula unit of the material. Upon electrochemical interaction, such material deintercalates lithium ions, and is capable of reversibly cycling lithium ions. The invention provides a rechargeable lithium battery which comprises an electrode formed from the novel lithium-containing phosphates, desirably lithium-containing halogen-containing phosphates and preferably lithium-metal-fluorophosphates. Methods for making the novel phosphates and methods for using such phosphates in electrochemical cells are also provided. Accordingly, the invention provides a rechargeable lithium battery which comprises an electrolyte; a first electrode having a compatible active material; and a second electrode comprising the novel phosphate materials. The novel materials, preferably used as a positive electrode active material, reversibly cycle lithium ions with the compatible negative electrode active material.

25

In the broadest aspect, the lithium-containing fluorophosphate materials, in an as-prepared, initial condition, are usable for lithium insertion (from the initial condition), or usable for lithium extraction (from the initial condition). The insertion/extraction characteristic depends upon the selection of other elements E', E" in the initial condition compound. The general formula is given below. The preferred lithium-containing phosphate materials have a high proportion of lithium in its initial condition, and the elements E', E" are selected to accommodate extraction of lithium without causing irreversible change in structure. Thus, after extraction, lithium is able to be reinserted.

Upon electrochemical interaction, such material deintercalates lithium ions, and is capable of reversibly cycling lithium ions. In one aspect, the general formula is $Li_qE'rE''sP_3O_{12-c}Z_c$, where Z represents a halogen, I (iodine), Br (Bromine), F (fluorine), and/or Cl (chlorine). Desirably Z is F or Cl, and preferably Z is F.

The novel materials are preferably used as a positive electrode active material, reversibly cycling lithium ions with compatible negative electrode active material. The materials may also be used as a negative electrode. The preferred use is as positive electrode, and lithium from the novel material is removed and transported to the negative electrode to charge the battery. The fluorophosphate material desirably has at least one atomic unit of lithium per formula unit of the phosphate material. The phosphate has a proportion, most desirably in excess of 1 atomic unit and preferably in excess of 2 atomic units of lithium per formula unit of the fluorophosphate. Upon electrochemical deintercalation, the proportion of lithium ions per formula unit become less and the element (E) of the phosphate material undergoes a change to a higher oxidation state.

Desirably, the lithium-containing phosphate is represented by the nominal general formula $Li_qE'rE''sP_3O_{12-c}Z_c$, and preferably, $Li_qE'rE''sP_3O_{12-c}F_c$, where in an initial condition "q" represents a relative maximum value of Li content. During cycling the lithium content varies as $0 \leq x \leq q$. Preferably, r and s are both greater than 0, and r plus s is about 2. Here, $0 \leq c \leq 12$.

35

In another aspect, the phosphate includes silicon (Si) as well as halogen Z or preferred fluorine.

Here, the electrode comprises an active material, represented by the nominal general formula $Li_aM'_{(2-b)}M''_bSi_yP_{3-y}O_{12-c}Z_c$, and preferably, $Li_aM'_{(2-b)}M''_bSi_yP_{3-y}O_{12-c}F_c$, $0 \leq b \leq 2$, $0 < c < 12$, $0 \leq y \leq 3$, 5 a is greater than zero and selected to represent the number of Li atoms to balance said formula; and where M' and M'' are each elements selected from the group consisting of metal and metalloid elements, and said M' and M'' are the same or different from one another. The 10 phosphate preferably has at least 2 atomic units of phosphorus, therefore, y is preferably less than 1.

In one embodiment, elements E' and E'' are the same. In another embodiment, E' and E'' are different from one another. At least one of E' and E'' is an element capable of a non-allotropic form oxidation state different from that initially present in the lithium phosphate compound. Desirably, at least one of E' and E'' is an element capable of an oxidation state higher 20 than that initially present in the phosphate. Correspondingly, at least one of E' and E'' have more than one oxidation state. Desirably, both E' and E'' have more than one oxidation state and both are oxidizable from the state initially present in the 25 phosphate compound. Desirably, at least one of E' and E'' is a metal or semi-metal (metalloid). Preferably, at least one of E' and E'' is a metal.

Desirably, the lithium metal phosphate is 30 represented by the nominal general formula $Li_qM'_{r}M''_sP_{3}O_{12-c}Z_c$, and preferably, $Li_qM'_{r}M''_sP_{3}O_{12-c}F_c$, where M' and M'' are each metals and/or metalloids, and q, r, s and c are as defined earlier. Preferably, M' and M'' are each independently selected from non-transition 35 metal metals and transition metals. Preferably, r plus s is about 2, and M' and M'' satisfy the conditions of oxidizability and oxidation state given for E' and E''. Many combinations satisfying the above conditions are

possible. For example, in one embodiment M' and M" are each independently selected from transition metals. In an embodiment where the formulation comprises two different M' and M", M' may be selected from non-transition metals and semi-metals (metalloids) and M" is a transition metal. In the embodiment where such M' non-transition metal has only one oxidation state, it is nonoxidizable from its oxidation state in the initial compound. In this case, M' may be selected from metals, such as aluminum, and magnesium, calcium, potassium, and other Groups I and II metals. In this case, M" is a metal having more than one oxidation state, and is oxidizable from its initial oxidation state in the phosphates, and M" is preferably a transition metal. In another embodiment, the non-transition metal has more than one oxidation state. Examples of semi-metals (metalloids) having more than one oxidation state are selenium and tellurium; other non-transition metals with more than one oxidation state are tin and lead. Metallic elements include metals and semi-metals, such as semi-conductors, including silicon (Si), tellurium (Te), selenium (Se), antimony (Sb), and arsenic (As).

The lithium metal phosphates are alternatively represented by the nominal general formulas $Li_{a-x}M'^{(2-b)}M''_bP_3O_{12-c}Z_c$, and preferably, $Li_{a-x}M'^{(2-b)}M''_bP_3O_{12-c}F_c$ and $Li_{a-x}M'^{(2-b)}M''_bSi_yP_{3-y}O_{12-c}Z_c$, and preferably, $Li_{a-x}M'^{(2-b)}M''_bSi_yP_{3-y}O_{12-c}F_c$ ($0 \leq x \leq a$), signifying capability to deintercalate and reinsert lithium. The expressions "2-b" and "b" signify that the relative amount of M' and M" may vary; and with $0 < b < 2$, some M' and M" are each present.

The active material of the counter-electrode is any material compatible with the lithium-metal-phosphate of the invention. Metallic lithium may be used as the negative electrode. The negative electrode is desirably a nonmetallic intercalation material or

compound. More desirably it is a carbonaceous intercalation material. Most desirably, the negative electrode comprises an active material from the group consisting of metal oxide, particularly transition metal oxide, metal chalcogenide, carbon, graphite, and mixtures thereof. It is preferred that the anode active material comprises a carbonaceous material, most preferably, graphite. The lithium-metal-phosphate of the invention may also be used as a negative electrode material.

The present invention resolves the capacity problem posed by widely used cathode active material. It has been found that the capacity of cells having the lithium phosphate active materials of the invention are greatly improved, for example, over LiMn_2O_4 . Optimized cells containing lithium phosphates of the invention potentially have performance greatly improved over all of the presently used lithium metal oxide compounds. Advantageously, the novel lithium phosphate compounds of the invention are relatively easy to make, and readily adaptable to commercial production, are relatively low in cost, and have very good specific capacity.

Objects, features, and advantages of the invention include an improved electrochemical cell or battery based on lithium which has improved charging and discharging characteristics, a large discharge capacity, and which maintains its integrity during cycling. Another object is to provide electrode active material which combines the advantages of large discharge capacity and with relatively lesser capacity fading. It is also an object of the present invention to provide positive electrodes which can be manufactured more economically and relatively more conveniently, rapidly, and safely than present positive electrodes which react readily with air and moisture. Another object is to provide a method for forming cathode active material

which lends itself to commercial scale production providing for ease of preparing large quantities.

These and other objects, features, and
5 advantages will become apparent from the following
description of the preferred embodiments, claims, and
accompanying drawings.

Brief Description of the Drawings

5 Figure 1 is a diagrammatic representation of a typical laminated lithium-ion battery cell structure having the electrode active material of the present invention.

10 Figure 2 is a diagrammatic representation of a multicell battery cell structure having the electrode active material of the present invention.

15 Figure 3 is an EVS (Electrochemical Voltage Spectroscopy) voltage/capacity profile for a cell embodying the lithium phosphate material of the invention $\text{Li}_3\text{V}_2\text{P}_3\text{O}_{11}\text{F}$ in combination with a lithium metal counter electrode in an electrolyte comprising ethylene carbonate and dimethyl carbonate in a weight ratio of 2:1 and including a 1 molar concentration of LiPF_6 salt. The lithium phosphate containing electrode and the lithium metal counter electrode are maintained spaced apart by a separator which is interpenetrated by the solvent and the salt. The conditions are ± 10 mV steps, between about 3.0 and 4.2 volts, and the critical limiting current density is less than or equal to 0.05
20 mA/cm².
25

Figure 4 is an EVS differential capacity plot for the cell as described in connection with Figure 3.

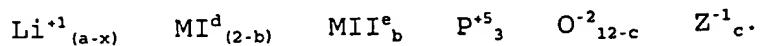
30 Figure 5 is a voltage/capacity plot of $\text{Li}_3\text{V}_2\text{P}_3\text{O}_{11.5}\text{F}_{0.5}$ cycled with a lithium metal anode using constant current cycling at 0.2 milliamps per square centimeter in a range of 3.0 to 4.2 volts.

Detailed Description of the
Preferred Embodiments

The present invention provides lithium-containing phosphate materials, desirably lithium-containing halogen-containing phosphates and preferably lithium-fluorophosphates which are usable as electrode active materials. In one aspect, the material has the general formula $Li_qE'_rE''_sP_3O_{12-c}Z_c$, where Z represents a halogen, I (iodine), Br (Bromine), F (fluorine), and/or Cl (chlorine). Desirably Z is F or Cl, and preferably Z is F. The invention will be described further with respect to the preferred F (fluorine). It is to be understood that the description is also applicable to other halogens. The halogens are fluorine, chlorine, bromine and iodine. The lithium insertion/extraction characteristic depends on the selection of the elements, E' and E'' (EI and EII). These elements, E', E'' are capable of forming positive ions. In a broad aspect, the formula is $Li_{a-x}E'_{(2-b)}E''_bP_3O_{12-c}F_c$; where $a > 0$; $0 \leq x \leq a$, $0 \leq b \leq 2$, $0 \leq c \leq 12$; preferably $0 < b < 2$ and $0 < c < 12$; and preferably, initially, $x = 0$, and then Li^+ is extracted and $0 < x \leq a$. Desirably, at least one of E', E'' are independently selected from metals and metalloids. Preferably, at least one of E', E'' is a transition metal. The values of q, r, s and c are selected to balance the total charge of +15 for three phosphates. Preferably E' and E'' are each independently selected from metalloids and metals MI (M'), MII (M''). This material provides an effective source of recyclable (Li^+) ions for a lithium battery.

In one broad embodiment, the material is represented by the following formula:

35



Here, each superscript value represents the oxidation states of respective elements. In a first condition, $x = 0$ and Superscript +1 is the oxidation state of one atom of Li (lithium), Superscript d is the oxidation state of one atom of MI, Superscript e is the oxidation state of one atom of MII, Superscript -1 is the oxidation state of one atom of Z (halogen), Superscript +5 is the oxidation state of one atom of P (phosphorus), Superscript -2 is the oxidation state of one atom of O (oxygen). The MI and MII are the same or different and are each elements independently selected from the group of metals and metalloid elements. Here, a, c, d and e are each greater than zero; d and e are each at least one; $0 \leq b \leq 2$; c is less than 12; and where a, b, c, d and e fulfill the requirement: $(a \times 1) + ((2 - b) \times d) + (b \times e) + 15 = (1 \times c) + ((12 - c) \times 2)$. Preferably, Z is F. The invention will be described further with respect to the preferred F (fluorine). It is to be understood that the description is also applicable to other halogens. The ionic radii of oxygen, fluorine, chlorine, bromine and iodine are, respectfully, in picometers 132, 133, 184, 196, and 220.

The material of the invention, in a second condition, is represented by said formula with $0 < x \leq a$. In the second condition, the oxidation state of MI is represented by d' and the oxidation state of MII is represented by e' . The amount X of Li is removed from the material, accompanied by a change in oxidation state of at least one of the MI and MII, according to $((2-b) \times (d' - d)) + (b(e' - e)) = X$; where $d' \geq d$ and $e' \geq e$. Preferably, d, d', e, and e' are each less than or equal to 6 in the material as defined here. The maximum value is up to about 8, but is not preferred for this material.

One or more of several criteria apply to the selection of E', E" and MI, MII (also expressed as M',

M"). In the case of E', E", at least one of E', E" is multivalent. In the case of M', M", at least one of the following apply: (1) at least one of M', M" (MI, MII) is selected from metals and metalloids; (2) at least one of M', M" is multivalent; (3) at least one of M', M" is a transition metal. In all cases, E' and E" may be the same element or different elements. The same condition applies to M', M" (MI, MII). Those skilled in the art will understand that a multivalent element is capable of variable valences. (See USPN 4,477,541, incorporated by reference in its entirety). Those skilled in the art will also understand that the selection of variables in a general formula is guided by considering the valence state characteristic of the elements. Valence state is also referred to as oxidation state. (See USPN 4,477,541).

In another aspect, the invention provides an electrode which comprises an active material, represented by the nominal general formula $Li_aM'(2-b)M''_bSi_yP_{3-y}O_{12-c}Z_c$, $0 \leq b \leq 2$, $0 < c < 12$, $0 \leq y < 3$, a is greater than zero and selected to represent the number of Li atoms to balance said formula; and where M' and M" are each elements selected from the group consisting of metal and metalloid elements, and said M' and M" are the same or different from one another. Here, Z is a halogen and preferably Z is F (fluorine).

In still another aspect, the invention provides a lithium ion battery which comprises an electrolyte; a negative electrode having an intercalation active material; and a positive electrode comprising the lithium phosphate active material of the invention characterized by an ability to deintercalate lithium ions for intercalation into the negative electrode active material. In the lithium phosphate, the "E" signifies element, at least one of which must be

multivalent. The "M" signifies metal or metalloid. In one aspect, the M' and M" are the same, and in another aspect, the M' and M" are different. Desirably, at least one of M', M" is a transition metal. Among the 5 metals and metalloids useful as M', M" or both, there are B (Boron), Ge (Germanium), As (Arsenic), Sb (Antimony), Si (Silicon), and Te (Tellurium). The selenium and sulfur elements are also able to form positive ions but are less desirable. Among the useful 10 metals which are not transition metals, there are the Group IA (New IUPAC 1) alkali; the Group IIA (New IUPAC 2) alkaline; the Group IIIA (13); the Group IVA (14); and the Group VA (15). The useful metals which are transition metals are Groups IIIB (3) to IIB (12), 15 inclusive. Particularly useful are the first transition series transition metals of the 4th Period of the Periodic Table. The other useful transition metals are found in the 5th and 6th Periods, and a few in the 7th Period. Among the useful metals 20 which are not transition metals, there are the Group IA (New IUPAC 1) alkali, particularly Li (Lithium), Na (Sodium), K (Potassium), Rb (Rubidium), Cs (Caesium); the Group IIA (New IUPAC 2) alkaline, particularly Be (Beryllium), Mg (Magnesium), Ca (Calcium), Sr (Strontium), Ba (Barium); the Group IIIA (13) Al (Aluminum), Ga (Gallium), In (Indium), Tl (Thallium); 25 the Group IVA (14) Sn (Tin), Pb (Lead); and the Group VA (15) Bi (Bismuth). The useful metals which are transition metals are Groups IIIB (3) to IIB (12), 30 inclusive. Particularly useful are the first transition series (4th Period of the Periodic Table), Sc (Scandium), Ti (Titanium), V (Vanadium), Cr (Chromium), Mn (Manganese), Fe (Iron), Co (Cobalt), Ni (Nickel), Cu (Copper), Zn (Zinc). The other useful transition metals 35 are Y (Yttrium), Zr (Zirconium), Nb (Niobium), Mo (Molybdenum), Ru (Ruthenium), Rh (Rhodium), Pd (Palladium), Ag (Silver), Cd (Cadmium), Hf (Hafnium), Ta (Tantalum), W (Tungsten), Re (Rhenium), Os (Osmium), Ir

(Iridium), Pt (Platinum), Au (Gold), Hg (Mercury); and the lanthanides, particularly La (Lanthanum), Ce (Cerium), Pr (Praseodymium), Nd (Neodymium), Sm (Samarium). M is most desirably a first transition 5 series transition metal, Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn; other desirable transition metals are Zr, Mo, and W. Mixtures of transition metals are also desirable.

10 There are a variety of specific compounds represented by the general formula that have as common features the ability to release and then reinsert lithium ions in repeated cycles. There are many examples within the general formula stated above, and 15 they include, but are not limited to, the following. For simplicity, the formulas include the preferred fluorine (F) as representative of other halogens. As stated earlier, fluorine and chlorine are preferred. One desirable compound family is represented by the 20 nominal general formula $Li_{3-x}M'(2-b)M''_bP_3O_{12-c}F_c$, $0 < C < 12$, $0 \leq x \leq 3$, signifying the composition and its capability to deintercalate lithium.

25 In the Li_3 compound, MI and MII are desirably each independently selected from transition metals and non-transition metal metals; and preferably are each independently selected from vanadium (V), iron (Fe), manganese (Mn), titanium (Ti), chromium (Cr), cobalt (Co), nickel (Ni), copper, (Cu), molybdenum (Mo), 30 aluminum (Al), magnesium (Mg), calcium (Ca), boron (B), zinc (Zn), and tin (Sn). In one of the desirable class of the Li_3 compounds, M' and M" each have a valence state which is the same or different, where said valence state is +2 or +3. In the Li_3 compound, MI is preferably in a 35 +2 valence state, and MII is preferably in a +3 valence state. Desirably, one M is selected from vanadium (V), manganese (Mn), Ti, Cr, Fe, Co, Ni, Cu and Mo, and the other M is selected from vanadium, iron (Fe), Ti, Cr,

Mn, Co, Ni, Cu and Mo. In one desirable embodiment, M^{+3} is vanadium; and M^{+2} is selected from V, Mn, Fe, Ti, Cr, Co, Ni, Cu and Mo. In another desirable embodiment, M^{+3} is Mn; and M^{+2} is selected from Fe, Ti, Co, Ni, Cu, V, and Mo. Examples are $Li_3V^{2+}_{0.5}V^{3+}_{1.5}P_3O_{11.5}F_{0.5}$, $Li_3V^{2+}_{1.0}V^{3+}_{1.0}P_3O_{11.0}F_{1.0}$, and $Li_3Mn^{2+}_{0.5}V^{3+}_{1.5}P_3O_{11.0}F_{0.5}$ ($Li_3M^{+2}_{2-b}M^{+3}_bP_3O_{12-c}F_c$).

Another family of compounds is represented by $Li_{2.5}M'_{(2-b)}M''_bP_3O_{12-c}F_c$. In the $Li_{2.5}$ compounds, desirably the initial valence state of MI and MII are each in the +3 valence state, and one of said MI and MII is capable of a higher oxidation state, preferably two higher oxidation states, compared to the oxidation state in the initial $Li_{3.5}$ compound. Desirably, each of the metals are independently selected from transition metals and non-transition metal metals; and preferably each is from a group consisting of aluminum (Al), V, Ti, Cr, Mn, Fe, Co, Ni, Cu, and Mo. Desirably, one metal is V or Mn and the second metal is selected from the earlier stated group. Examples are $Li_{2.5}V^{3+}_{1.0}V^{3+}_{1.0}P_3O_{11.5}F_{0.5}$ and $Li_{2.5}V^{3+}_{1.0}Mn^{3+}_{1.0}P_3O_{11.5}F_{0.5}$ ($Li_{2.5}M^{+3}_{2-b}M^{+3}_bP_3O_{12-c}F_c$).

Another family of compounds is represented by $Li_2M'_{(2-b)}M''_bP_3O_{12-c}F_c$. Desirably, in the case of the Li_2 compounds, in one embodiment the first and second metals are each in an initial +3 valence state. Desirably, in this case, the metals are independently selected from transition metals and non-transition metal metals; and preferably are selected from V, Mn, Ti, Cr, Fe, Co, Ni, Cu, and Mo. Examples are $Li_2V^{3+}_{1.0}V^{3+}_{1.0}P_3O_{11.0}F_{1.0}$ and $Li_2V^{3+}_{0.5}Fe^{3+}_{1.5}P_3O_{11.0}F$ ($Li_2M^{+3}_{2-b}M^{+3}_bP_3O_{12-c}F_c$).

Upon extraction of lithium ions from the phosphate, significant capacity is achieved. This extraction is exemplified by $\text{Li}_{(3-x)}\text{V}^{+2}\text{V}^{+3}\text{P}_3\text{O}_{11}\text{F}$;
 $\text{Li}_{(2.5-x)}\text{V}^{+3}\text{V}^{+3}\text{P}_3\text{O}_{11.5}\text{F}_{0.5}$.

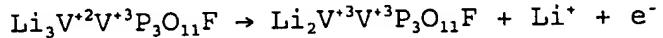
5

Such specific capacity achieved from preferred lithium-phosphates is in excess of the specific capacity from $\text{Li}_1\text{Mn}_2\text{O}_4$ ($\text{Li}_{1-x}\text{Mn}_2\text{O}_4$), an example of a currently used cathode active material. In one embodiment of the 10 invention, electrochemical energy is provided by deintercalation of lithium from lithium phosphates. For example, when lithium is removed per formula unit of the $\text{Li}_{(3-x)}\text{V}^{+2}\text{V}^{+3}\text{P}_3\text{O}_{11}\text{F}$, vanadium is oxidized. Similarly, in $\text{Li}_{2.5}$, vanadium III is oxidized.

15

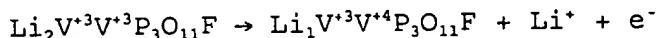
When one lithium is removed per formula unit of the Li_3 , V^{+2} is oxidized to V^{+3} . The electrochemical reaction is as shown below:

20



Further extraction is possible according to:

25



25

Note that in the first extraction, the resulting average oxidation state of vanadium is +3. It is thought that both of the vanadium atomic species carry a +3 charge, it is less likely that one of the vanadium species 30 carries a +2 charge and the other a +4 charge. In the second extraction, the vanadium is oxidized from +3,+3 to +3,+4. Still further oxidation is possible with the removal of the final lithium ion. In the case of Li_3 , where 3 Li are extracted, the starting formulation is 35 thought to have the aforesaid $\text{V}^{+2}\text{V}^{+3}$ combination. The V^{+2} may be oxidized to V^{+5} , and the V^{+3} may be oxidized to V^{+5} ; also the V^{+2} may be oxidized to V^{+3} . Therefore, these oxidative states +3, +5 are thought to be the most

probable. The +4 state is also known for V, therefore V⁺⁴ V⁺⁴ is also possible.

The electrochemical extraction of lithium from 5 Li₃M'M" P₃O₁₁F is heretofore not known to have been described. Similarly, a mixed metal compound, such as Li₃Mn⁺²_{0.5}V⁺³_{1.5}P₃O_{11.5}F_{0.5} has two oxidizable elements. Where there is one oxidizable element, as in Li₃V⁺²Al⁺³P₃O₁₁F, the extraction of one Li gives Li₂V⁺³Al⁺³P₃O₁₁F, the extraction 10 of a second Li gives Li₁V⁺⁴Al⁺³P₃O₁₁F and the extraction of a third Li gives V⁺⁵Al⁺³P₃O₁₁F.

The compounds of the invention are characterized by not merely ionic mobility but also by 15 the ability to release lithium ions from the formula unit, and maintaining of the unit for subsequent reinsertion of lithium ions. Importantly, the release and reinsertion occurs at potentials usable for battery applications. The theoretical capacities of the 20 compounds within a family will vary slightly. The theoretical capacity from family to family, for example, from Li₃ to Li_{2.5}, will also vary. The capacity is dependent upon the amount of lithium removable from the initial condition of the compound, and the weight of the 25 compound, where capacity is expressed as milliamp hours per gram (mAh/g).

Table 1 shows theoretical capacities for 30 several exemplary Li₃, Li_{2.5} and Li₂ active materials, according to progressive amounts of Li removed.

TABLE 1

	<u>Material</u>	<u>Approx. Molecular Mass</u>	<u>Capacity in mAh/g</u>		
			<u>-1 Li</u>	<u>-2 Li</u>	<u>-3 Li</u>
5	$\text{Li}_3\text{V}_2\text{P}_3\text{O}_{11.5}\text{F}_{0.5}$	409.5	65	131	196
10	$\text{Li}_3\text{Mn}_{0.5}\text{V}_{1.5}\text{P}_3\text{O}_{11.5}\text{F}_{0.5}$	411.5	65	130	195
	$\text{Li}_{2.5}\text{V}_2\text{P}_3\text{O}_{11.5}\text{F}_{0.5}$	406	66	132	
15	$\text{Li}_{2.5}\text{VMnP}_3\text{O}_{11.5}\text{F}_{0.5}$	410	65	131	
	$\text{Li}_2\text{V}_2\text{P}_3\text{O}_{11}\text{F}_1$	420	64	128	
19	$\text{Li}_2\text{V}_{0.5}\text{Fe}_{1.5}\text{P}_3\text{O}_{11}\text{F}$	425.5	63	126	
20					

The present invention resolves a capacity problem posed by conventional cathode active materials. Such problems with conventional active materials are described by Tarascon in U.S. Patent No. 5,425,932, 5 using LiMn_2O_4 as an example. Similar problems are observed with LiCoO_2 , LiNiO_2 , and many, if not all, lithium metal chalcogenide materials. The present invention demonstrates that such capacity problems are overcome and greater proportion of potential in the 10 cathode active material is utilizable providing a great improvement over conventional active materials.

Positive electrode lithium-metal-phosphate active material was prepared and tested in 15 electrochemical cells. A typical cell configuration will be described with reference to Figure 1.

A battery or cell which utilizes the novel family of salts of the invention will now be described. 20 Note that the preferred cell arrangement described here is, illustrative and the invention is not limited thereby. Experiments are often performed, based on full and half cell arrangements, as per the following description. For test purposes, test cells are often 25 fabricated using lithium metal electrodes. When forming cells for use as batteries, it is preferred to use an intercalation metal oxide positive electrode and a graphitic carbon negative electrode.

30 Polymeric electrolytic cells comprise polymeric film composition electrodes and separator membranes. In particular, rechargeable lithium battery cells comprise an intermediate separator element containing an electrolyte solution through which lithium 35 ions from a source electrode material move between cell electrodes during the charge/discharge cycles of the cell. In such cells an ion source electrode is a lithium compound or other material capable of

intercalating lithium ions. An electrode separator membrane comprises a polymeric matrix made ionically conductive by the incorporation of an organic solution of a dissociable lithium salt which provides ionic mobility.

A typical laminated battery cell structure 10 is depicted in Figure 1. It comprises a negative electrode side 12, a positive electrode side 14, and an electrolyte/separator 16 therebetween. Negative electrode side 12 includes current collector 18, and positive electrode side 14 includes current collector 22. A copper collector foil 18, preferably in the form of an open mesh grid, upon which is laid a negative electrode membrane 20 comprising an intercalation material such as carbon or graphite or low-voltage lithium insertion compound, dispersed in a polymeric binder matrix. An electrolyte separator film 16 membrane of plasticized copolymer is positioned upon the electrode element and is covered with a positive electrode membrane 24 comprising a composition of a finely divided lithium intercalation compound in a polymeric binder matrix. An aluminum collector foil or grid 22 completes the assembly. Protective bagging material 40 covers the cell and prevents infiltration of air and moisture.

In another embodiment, a multicell battery configuration as per Figure 2 is prepared with copper current collector 51, negative electrode 53, electrolyte/separator 55, positive electrode 57, and aluminum current collector 59. Tabs 52 and 58 of the current collector elements form respective terminals for the battery structure.

35

The relative weight proportions of the components of the positive electrode are generally: 50-90% by weight active material; 5-30% carbon black as the

electric conductive diluent; and 3-20% binder chosen to hold all particulate materials in contact with one another without degrading ionic conductivity. Stated ranges are not critical, and the amount of active material in an electrode may range from 25-85 weight percent. The negative electrode comprises an intercalation active material such as metal oxide or carbonaceous material, preferably graphite. Preferably, the negative electrode comprises about 50-95% by weight of a preferred graphite, with the balance constituted by the binder. When a metal oxide active material is used, the components of the electrode are the metal oxide, electrically conductive carbon, and binder, in proportions similar to that described above for the positive electrode. In a preferred embodiment, the negative electrode active material is graphite particles. A typical electrolyte separator film comprises approximately two parts polymer for every one part of a preferred fumed silica. Before removal of the plasticizer, the separator film comprises about 20-70% by weight of the composition; the balance constituted by the polymer and fumed silica in the aforesaid relative weight proportion. The conductive solvent comprises any number of suitable solvents and salts. Desirable solvents and salts are described in USPN 5,643,695 and 5,418,091.

Advantageously, the active material of the invention is usable with a variety of solvents and salts. Solvents are selected from such mixtures as dimethyl carbonate (DMC), diethylcarbonate (DEC), dipropylcarbonate (DPC), ethylmethylcarbanate (EMC), ethylene carbonate (EC), propylene carbonate (PC), butylene carbonate, lactones, esters, glymes, sulfoxides, sulfolanes, etc. The preferred solvents are EC/DMC, EC/DEC, EC/DPC and EC/EMC. The salt content ranges from 5% to 65% by weight, preferably from 8% to 35% by weight.

Separator membrane element 16 is generally polymeric and prepared from a composition comprising a copolymer. A preferred composition is the 75 to 92% vinylidene fluoride with 8 to 25% hexafluoropropylene 5 copolymer (available commercially from Atochem North America as Kynar FLEX) and an organic solvent plasticizer. Such a copolymer composition is also preferred for the preparation of the electrode membrane elements, since subsequent laminate interface 10 compatibility is ensured.

In the construction of a lithium-ion battery, a current collector layer of aluminum foil or grid is overlaid with a positive electrode film, or membrane, 15 separately prepared as a coated layer of a dispersion of intercalation electrode composition. Here, the intercalation material is the lithium phosphate, in the form of a powder, in a copolymer matrix solution, which is dried to form the positive electrode. An 20 electrolyte/separator membrane is formed as a dried coating of a composition comprising a solution containing VdF:HFP copolymer and a plasticizer solvent is then overlaid on the positive electrode film. A negative electrode membrane formed as a dried coating of 25 a powdered carbon or other negative electrode material dispersion in a VdF:HFP copolymer matrix solution is similarly overlaid on the separator membrane layer. A copper current collector foil or grid is laid upon the negative electrode layer to complete the cell assembly. 30 After lamination, this produces an essentially unitary and flexible battery cell structure.

Examples of forming cells containing a variety of electrodes and electrolytes can be found in U.S. 35 Patent Nos. 4,668,595; 4,830,939; 4,935,317; 4,990,413; 4,792,504; 5,037,712; 5,262,253; 5,300,373; 5,435,054; 5,463,179; 5,399,447; 5,482,795 and 5,411,820; each of which is incorporated herein by reference in its

entirety. Note that the older generation of cells contained organic polymeric and inorganic electrolyte matrix materials, with the polymeric being most preferred. The polyethylene oxide of 5,411,820 is an example. More modern examples are the VDF:HFP polymeric matrix. Examples of casting, lamination and formation of cells using VdF:HFP are as described in U.S. Patent Nos. 5,418,091; 5,460,904; 5,456,000; and 5,540,741; assigned to Bell Communications Research, each of which is incorporated herein by reference in its entirety.

Method of Making Lithium Phosphates

The compositions of the invention are prepared by mixing together appropriate proportions of precursor compounds. In one preferred embodiment, precursor compounds are in powder form, mixtures of such powders are intermingled and then heated at a temperature sufficient to cause formation of the desired lithium-phosphate of the invention. In this example, the compositions of the invention were prepared by mixing together appropriate proportions of: alkali metal carbonate, here lithium carbonate (Li_2CO_3); a phosphoric acid derivative, preferably the phosphoric acid ammonium acid salt, ammonium phosphate, $NH_4H_2(PO_4)$ or $(NH_4)_2H(PO_4)$; selected metal oxides, preferably, MO_x , $0 < x \leq 3$; and lithium fluoride (LiF).

In this example, in order to obtain compositions of the compound $Li_3V_2P_3O_{11}F$, appropriate amounts of Li_2CO_3 ; V_2O_5 ; LiF; and $NH_4H_2PO_4$ were mixed and reacted under hydrogen atmosphere. The proportions were 1:1:1:3 on the basis of molar ratios. The mixture was heated under hydrogen atmosphere for a number of hours, and at a temperature sufficient to decompose the phosphate. The mixture was heated for 8 hours at 300°C. Then the mixture was held at an elevated temperature of about 850°C for about 8 hours. Repeated cooling,

grinding and reheating at an elevated temperature may be necessary in order to cause complete reaction to form the final product.

5 In another example, a product of the nominal general formula $\text{Li}_3\text{V}_2\text{P}_3\text{O}_{11.5}\text{F}_{0.5}$ was prepared by mixing appropriate amounts of precursors in the following relative molar proportions 1:25 Li_2CO_3 ; 0.5 LiF ; 1.0 V_2O_5 ; 3 $\text{NH}_4\text{H}_2\text{PO}_4$.

10 The mixture was then heated under hydrogen atmosphere as per the conditions as described in the earlier example. If the F is replaced by Cl in the final product, the above proportions are used with replacement of LiF with LiCl . Thus, the chloro-phosphate is prepared similar to the fluoro-phosphate. Similar products are also prepared with Br or I in place of F, using the precursors LiBr or LiI .

20 The fluoro-silico phosphate product of the nominal general formula $\text{Li}_3\text{V}_2\text{SiP}_2\text{O}_{11.5}\text{F}_{0.5}$ is prepared by mixing appropriate amounts of precursors in the molar proportions of 1.25 Li_2CO_3 ; 0.5 LiF ; 1.0 V_2O_5 ; 1.0 SiO_2 ; and 2.0 $\text{NH}_4\text{H}_2\text{PO}_4$.

25 In accordance with the general formulas given above, the relative proportions of lithium and the metal, metalloid or mixtures thereof may vary, and the structure of the initial phosphate may also vary. 30 Heating and quenching to cause the desired structure is known.

35 Figure 3 shows a voltage profile of the test cell, based on the $\text{Li}_3\text{M}'\text{M}''\text{P}_3\text{O}_{12-c}\text{F}_c$, specifically ($\text{Li}_3\text{V}_2\text{P}_3\text{O}_{11}\text{F}$) positive electrode active material of the invention, and using a lithium metal counter electrode. The data shown in Figure 3 is based on the Electrochemical Voltage Spectroscopy (EVS) technique.

Electrochemical and kinetic data were recorded using the Electrochemical Voltage Spectroscopy (EVS) technique. Such technique is known in the art as described by J. Barker in *Synth. Met.* 28, D217 (1989); *Synth. Met.* 32, 43 (1989); *J. Power Sources*, 52, 185 (1994); and *Electrochemical Acta*, Vol. 40, No. 11, at 1603 (1995). Figure 3 clearly shows and highlights the very high degree of reversibility of the lithium ion reactions of the $\text{Li}_3\text{M}'\text{M}''\text{P}_3\text{O}_{12-\text{c}}\text{F}_\text{c}$, specifically $(\text{Li}_3\text{V}_2\text{P}_3\text{O}_{11}\text{F})$ active material of the invention. The positive electrode contained about 28.9 milligrams of the $\text{Li}_3\text{V}_2\text{P}_3\text{O}_{11}\text{F}$ active material. The total electrode weight including the binder and conductive carbon diluent was about 33.2 milligrams. The positive electrode showed a performance of about 90 milliamp hours per gram on the first discharge. In Figure 3, the charge capacity in is essentially 93 milliamp hours per gram, and the discharge capacity out is essentially 90 milliamp hours per gram, resulting in essentially no capacity change. Figure 4 is an EVS differential capacity plot based on Figure 3. As can be seen from Figure 4, the relatively symmetrical nature of peaks indicates good electrical reversibility, there be related to irreversible reactions, since all peaks above the axis (cell charge) have corresponding peaks below the axis (cell discharge), and there is essentially no separation between the peaks above and below the axis.

Figure 5 shows the results of the first constant current cycling at 0.2 millamps per square centimeter between about 3.0 and 4.2 volts based upon about 34.2 milligrams of the $\text{Li}_3\text{V}_2\text{P}_3\text{O}_{11.5}\text{F}_{0.5}$ active material in the cathode (positive electrode). In an as prepared, as assembled, initial condition, the positive electrode active material is $\text{Li}_3\text{V}_2\text{P}_3\text{O}_{11.5}\text{F}_{0.5}$. The lithium is deintercalated from the $\text{Li}_3\text{V}_2\text{P}_3\text{O}_{11.5}\text{F}_{0.5}$ during charging of the cell. When fully charged, about 1.71 units of lithium have been removed per formula unit of the

original lithium-vanadium-fluorophosphate. Consequently, the positive electrode active material corresponds to $Li_{3-x}V_2P_3O_{11.5}F_{0.5}$ where x is greater than 0 and less than 3, and in the operation of this cell, x appears to be equal to about 1.3, when the cathode material is at 4.2 volts versus Li/Li^+ . In this charged condition, the electrochemical potential versus lithium of the cathode active material is about 4.2 volts. The deintercalation of lithium represents approximately 111 milliamp hours per gram corresponding to about 3.25 milliamp hours based on 34.2 milligrams active material. Next, the cell is discharged whereupon a quantity of lithium is reintercalated into the cathode active material. The average voltage is approximately 3.8 volts versus Li/Li^+ . The reintercalation corresponds to approximately 100 milliamp hours per gram proportional to the intercalation of about 1.54 atomic units of lithium. The bottom of the curve corresponds to approximately 3.0 volts.

Power x-ray diffraction studies indicated that the structures of the $Li_3V_2P_3O_{11}F$ and the $Li_3V_2P_3O_{11.5}F_{0.5}$ fluoro-phosphates were similar to the structure of $Li_3V_2(PO_4)_3$. The $Li_3V_2P_3O_{11.5}F_{0.5}$ demonstrated clearly defined peaks of a pattern that confirmed crystallized, single phase product (See Table 2). The data indicated that the fluoro-phosphate material is monoclinic.

The method of this example is consistent with formulation of sodium-metal-silicophosphate compounds as described in U.S. Patent Nos. 4,049,891 (Hong); 4,512,905 (Clearfield); 4,394,280 (von Alpen); 4,166,159 (Pober); and 4,322,485 (Harrison); and with the procedure for lithium manganese oxide fluoride as per U.S. Patent No. 5,674,645; and other fluoro substitutions as in J. Power Sources 68 (1997) pgs 553-557. Each of the aforesaid methods may be referred to for further background. A rather comprehensive

discussion of phosphate, fluorophosphate, chlorophosphate and silicophosphate chemistry can be found in the textbook "Topics in Phosphate Chemistry" by Mt Averbuch-Pouchot and A. Durif, published by World 5 Scientific, 1996.

Each of the precursor starting materials are available from a number of chemical suppliers, including Kerr McGee, Aldrich Chemical Company and Fluka. A large 10 variety of precursor powders are known and commonly available from a wide variety of vendors. They include, but are not limited to, metal salts: carbonates, acetates, nitrates and oxides. Examples are, MgO, Mg₃(PO₄)₂, Mg(NO₃)₂, MnO, MnO₂, Mn₂O₃, MoO₂, MoO₃, FeO, 15 Fe₂O₃, Cr₂O₃, ZrO₂, NiO, CoO, VO, V₂O₃, V₂O₅, CuO, TiO, TiO₂, LiAlO₂, AlOH, Al₂O₃, AlPO₄, B₂O₃, BPO₄, K₂O, SnO, SnO₂, PbO, PbO₂, Ga(NO₃)₃, Ga₂O₃, In₂O₃, In(NO₃)₃, As₂O₃, 20 Sb₂O₃, BeO, CaO, Ca₃(PO₄)₂, Ca(NO₃)₂, GeO₂, zinc acetate, ZnO, Zn(NO₃)₂, CdO and Cd(NO₃)₂. The selection of precursor in part depends on whether a reducing, inert 25 or ambient atmosphere is used. The lithium metal phosphates are prepared with approximately stoichiometric mixtures as indicated above. However, a 5% excess of lithium (as lithium carbonate) is preferred, to minimize any lithium loss as Li₂O. A preferred method for intimately mixing the precursors is to grind them in a methanol solution for 30 minutes. Then the mixed compounds are dried and pressed into 30 pellets. The heating, to cause reaction, is conducted in a furnace. A preferred ramp rate of about 1°C per minute is suggested, to decompose the precursor materials. Then the elevated temperatures are maintained for a period of time on the order of up to about 24 hours to cause complete reaction. The entire 35 reaction may be conducted in a reducing atmosphere. The general aspects of the synthesis routes described above (and incorporated by reference) are applicable to a variety of starting materials. For example, LiOH and

LiNO₃ salts may replace Li₂CO₃ as the source of lithium. In this case, the temperature for the first heating will vary, depending on the differing melting and/or decomposition points for carbonates, hydroxides, 5 nitrates and phosphates. The selection of metal oxide, combined with the oxidizing potential of the phosphate, is preferably offset by a reducing agent, for example, hydrogen atmosphere. The relative oxidizing strengths 10 of the precursor salts, and the melting and/or decomposition points of the precursor salts, will cause adjustment in the general procedure. It is also possible to prepare alternative intermediates to the precursors described earlier. Examples are 4NH₄ + P₂O₅ → 2 (NH₄)PO₂F₂; or LiPF₆ + 2LiPO₃ → 3LiPO₂F₂.

15

In still another approach, lithium metal phosphate compounds are prepared by oxidative extraction of sodium from the sodium counterpart, followed by addition to the host material of lithium in place of the 20 removed sodium. These methods are known and described for Nasicon compounds. See Rangan and Gopalakrishnan, for preparation and chemical analysis of NASICON-type structures in Chem. Mater., Vol. 4, No. 4, 1992, p. 745 and Journal of Solid State Chemistry, 109, 116-121 25 (1994). See also Feltz and Barth in Solid State Ionics, 9 & 10 (1983), pps. 817-822.

It is helpful to replace even a small amount 30 of oxygen (O) with fluorine (F) in the O_{12-c}F_c. Such replacement provides an improvement in cycling stability and attractive capacity. This improved stability is particularly advantageous under elevated temperature 35 conditions. The criteria is to provide balance in the overall formula, by selection of EI_(2-b), EII_b, and the amounts 2-b, b, 12-c and c. A replacement of as little as a few atomic parts is acceptable; examples are c = 0.5; c = 0.1; c = 0.01; and c = 0.005. The criteria is that F is present in an atomic amount less than the

atomic amount of oxygen. Significant substitution is also acceptable, for example, c up to 2. As described earlier, it is also possible to replace some P (phosphorus) with Si (silicon). Based on the teachings herein, in the substitution of Si^{+4} for P^{+5} or a portion thereof, charge balance is achieved by appropriate selection of lithium, metal, halogen and oxygen atomic proportions. The size of the Si ion and the P ion facilitate substitution, with Si^{+4} at 40 and P^{+5} at 38 10 picometers.

The preferred materials of the invention are metal and mixed metal mixed fluorophosphates or fluoro-silico-phosphates or mixed metalloid/metal mixed fluorophosphates or fluoro-silico-phosphates. 15 Advantageously, the material contains a variety of metals and metalloids, the most desirable are listed here, and many examples are given above and below, but the invention is not limited thereby.

Common oxidation states and other properties of representative elements, including metal, semi-metal (metalloid) and transition metals, are described in USPN 5,336,572 assigned to the assignee of the present 20 invention and incorporated herein by reference in its entirety.

It should be noted that phrases such as "oxidizable from the initial state of the compound", 30 refer to the condition that when Li is removed from such initial compound, the element EI is oxidized to a more positive oxidation state. Thus, if the initial oxidation state of EI is a value of "d", and one atomic unit of Li is removed, the resultant oxidation state of 35 EI is "d+1".

If this same material is used to insert Li from its initial condition, then EI must be reducible to

a less positive oxidation state without causing destruction of the compound. If EI is a metal, such reduction must occur without formation of metallic EI. The above equally applies for MI and MII in the formula.

5

It should be noted that lithium-metal-halogen-phosphate, having oxidizable metal in the structure, is not known to have been used as an electrode, thus, the electrochemical reactions demonstrated by the present invention are remarkable as it has not heretofore been suggested. The product of the present invention may be compared and contrasted to the NASICON ($\text{Na}_2\text{Zr}_2\text{PSi}_2\text{O}_{12}$) framework which is a skeleton structure with an interconnected interstitial space. There are also the Langbeinite-type ($\text{K}_2\text{Mg}_2(\text{SO}_4)_3$) structures which are true cage structures. Such structures do not permit mobility of alkali metal ions through the crystal. Some NASICON-type structures possess ionic conductivity but have very poor electronic conductivity. Some NASICON-type structures have been used as solid electrolytes, but are not usable as electrode materials. This is because they lack an oxidizable metal in their structure, therefore, an ion cannot be extracted. Thus, such structures and compounds are useless for ion battery, rocking chair battery, application.

Advantageously, the active materials of the invention are able to be prepared by methods described herein. The preparation methods disclosed hereinabove are exemplary, and to such methods may be added the sol-gel process. This has been described in Chem. Mater. 1997, 9, 2354-2375, Nov. 1997, sol-gel method for the preparation of NASICON and related phases was reported as early as the 1980's. This approach reportedly leads to relatively pure single-phase materials, since low sintering temperatures on the order of less than 1100°C are sufficient. The sol-gel method is based on the use of precursor powders as described hereinabove. It has

been reported that NASICON-type materials, compounds and structures have been prepared in varying degrees of crystallinity: single and polycrystalline forms, forms with low crystallinity or which lack crystallinity, 5 amorphous forms. (JACS 1989, 11, 4239). Single crystals of various compositions in the $Na_{1+x}Zr_2P_{3-x}Si_xO_{12}$ have historically been known, and include preparation of homogeneous gels of uniform composition based on the 10 judicial choice of stabilizing ligand such as citrate or acetyl acetone that complex and stabilize the fast hydrolyzing component of the sol-gel precursor.

NASICON structures are known to be either monoclinic or rhombohedral. Therefore, NASICON phases 15 can either crystallize in monoclinic or rhombohedral framework structure. The monoclinic structure is typical of the phosphate and fluorophosphates. Some NASICON compounds are known to exist in both forms, monoclinic and rhombohedral. The form depends on the 20 method of preparation. In some cases, if the compound is prepared in sodium form, it takes the rhombohedral structure, and then ion substitution, to replace sodium with lithium, results in the final compound of the invention. The NASICON may also be prepared directly 25 from lithium precursor, facilitating the preparation of the monoclinic form. In either case, the framework structure and the formula of the compound permits the release of lithium ion. This characteristic, namely permitting release of lithium ion, is unique to the 30 compounds of the present invention. The compounds of the invention are also characterized by being air stable in an as-prepared condition. This is a striking advantage, because it facilitates preparation of an assembly of battery cathodes and cells, without the 35 requirement for controlled atmosphere. This feature is particularly important, as those skilled in the art will recognize that air stability, that is, lack of degradation on exposure to air, is very important for

commercial processing. Air-stability is known in the art to more specifically indicate that a material does not hydrolyze in presence of moist air. Generally, air-stable materials are also characterized by intercalating Li above about 3.5 volts versus lithium. The air-stability of the $Li_3M'M''P_3O_{12-c}F_c$ materials of the invention is consistent with the stability demonstrated for $Li_3V_2(PO_4)_3$, by constant current cycling at 0.20 milliamps per square centimeter between about 3 and 4.3 volts versus Li metal anode. If a material intercalates Li below about 3.0 volts versus lithium, it is generally not air-stable, and it hydrolyzes in moist air. Those skilled in the art will also recognize that preparation by the sol-gel method described hereinabove, is advantageous, facilitating a better cycling system in a battery, since the compound is not as crystal-like. Therefore, the degree of crystallinity changes, depending on particle size and process parameters. It is known that amorphous materials often provide plateaus on cycling that are less defined.

The present invention provides a preferred lithium-metal-fluoro phosphate having lithium combined with an oxidizable metal. Such oxidizable metal is capable of more than one oxidation state. The metal is present in the phosphate material at less than the metal's highest oxidation state. Therefore, the metal is oxidizable to provide capability to extract out one or more lithium ions. This is demonstrated by the earlier example of the oxidation of vanadium. It should be noted that there are many other combinations which make possible extraction/insertion of lithium-metal-phosphate materials. Note that the amount of lithium removed or added will determine the relative oxidation state of M and E or multiple M's and E's.

Lithium ion batteries made with this technology are made in the discharged state, also

referred to as pre-charge (before charge) state. They require a conditioning charge before use. In the initial condition (pre-charge state), anodes of the ion batteries are essentially free of lithium, and often 5 free of ions thereof, as in the case of graphite. Therefore, such batteries, in the initial condition (as-assembled) pre-charge state, are inherently initially more stable and relatively less reactive than batteries containing lithium metal, or containing fully or 10 partially charged anodes.

To achieve a usable potential difference, the (positive electrode) is electrochemically oxidized, while the anode (negative electrode) is reduced. Thus, 15 during charging, a quantity of lithium ions (Li^+) leave the positive electrode, and the positive electrode is oxidized, increasing its potential. During charging, the Li ions are accepted at the preferred carbon-based negative electrode, which is reduced. As a result, the 20 negative electrode has a potential very close to the lithium metal potential, which is zero volts. A typical graphite electrode can intercalate up to about 1 atom of lithium per each of 6 carbons, that is, Li_0C_6 to Li_1C_6 . During discharging, the reverse process occurs.

25 If the $\text{Li}_a\text{MI}_{(2-b)}\text{MII}_b\text{P}_3\text{O}_{12-c}\text{F}_c$ compound were used as a negative electrode, during charge, Li ions would be transferred to the negative electrode as $\text{Li}_{a+x}\text{MI}_{(2-b)}\text{MII}_b\text{P}_3\text{O}_{12-c}\text{F}_c$ and the MI, MII, or both, would 30 achieve a lower oxidation state.

TABLE 2 $\text{Li}_3\text{V}_2\text{P}_3\text{O}_{11.5}\text{F}_{0.5}$ Fit to a Monoclinic Unit Cell

5 A = 12.09454; B = 8.6087; C = 8.60667

Unit Cell Volume = 896.04 Cubic Angstroms

Alpha, Beta and Gamma Each 90 Degrees

10	H	K	L	2T-CALC	2T-OBS
15	-1	1	1	16.231	16.211
	1	1	1	16.350	16.273
	-2	1	1	20.594	20.585
	2	1	1	20.784	20.753
	1	2	1	21.900	21.869
	0	2	0	23.084	23.024
20	-3	0	1	24.240	24.247
	3	0	1	24.484	24.471
	-3	1	1	26.386	26.418
	3	1	1	26.612	26.598
	-2	2	1	27.370	27.368
	2	2	1	27.515	27.459
25	0	2	2	29.324	29.269
	4	0	0	29.520	29.577
	-3	1	2	31.937	31.908
	1	3	0	32.029	32.059
	3	2	1	32.218	32.262
	0	3	1	32.874	32.862
30	-4	1	1	32.932	32.978
	2	2	2	33.046	----
	4	1	1	33.178	33.190
	-1	1	3	33.638	----
	-1	3	1	33.690	33.697
	-2	3	1	36.099	36.080
35	2	3	1	36.213	36.237
	-1	3	2	38.347	38.357
	5	1	0	38.635	38.620
	-3	2	3	43.833	43.821
	-3	3	2	43.901	43.916
	3	3	2	44.191	44.156
40	0	4	2	47.179	47.161
	0	2	4	47.188	----
	-6	1	1	47.341	47.279
	2	3	3	47.353	----
	6	1	1	47.615	----
	-1	2	4	47.728	47.719

50

Based on CuK-alpha at 1.5418
angstrom wavelength and 2-theta

While this invention has been described in terms of certain embodiments thereof, it is not intended that it be limited to the above description, but rather only to the extent set forth in the following claims.

5

The embodiments of the invention in which an exclusive property or privilege is claimed are defined in the following claims.

Valence 2084

Claims:

1. A lithium ion battery which comprises a
 5 positive electrode and a negative electrode; said
 positive electrode having an active material represented
 by the formula $Li_aM'(2-b)M''_bP_3O_{12-c}Z_c$, $0 \leq b \leq 2$, $0 < c < 12$,
 a is greater than zero and selected to represent the
 10 number of Li atoms to balance said formula; where M' and
 M'' are the same or different from one another and are
 each elements selected from the group consisting of
 metal and metalloid elements; and where Z is a halogen.

2. The battery of claim 1 wherein the
 15 halogen is F (fluorine).

3. The battery of claim 1 wherein said
 active material is represented by the formula
 $Li_aM'(2-b)M''_bP_3O_{12-c}F_c$; M' is selected from the group
 20 consisting of: V, Fe, Mn, and M'' is selected from the
 group consisting of: V, Fe, Mn, Ti, Cr, Co, Ni, Cu, and
 Mo.

4. The battery of claim 1 wherein said
 25 active material is represented by one of the
 following formulas $Li_{2.0}M'(2-b)M''_bP_3O_{11}F$;
 $Li_{2.5}M'(2-b)M''_bP_3O_{11.5}F_{0.5}$; $Li_3M'(2-b)M''_bP_3O_{11.5}F_{0.5}$; and
 $Li_3M'(2-b)M''_bP_3O_{11}F$.

30 5. The battery of claim 1 wherein said
 positive electrode active material is selected from the
 group consisting of: $Li_{2.5}V^{3+}_{1.0}V^{3+}_{1.0}P_3O_{11.5}F_{0.5}$;
 $Li_{2.0}V^{3+}_{1.0}V^{3+}_{1.0}P_3O_{11.0}F_{1.0}$; $Li_{2.5}V^{3+}_{1.0}Mn^{3+}_{1.0}P_3O_{11.5}F_{0.5}$;
 $Li_{2.0}V^{3+}_{0.5}Fe^{3+}_{1.5}P_3O_{11.0}F$; $Li_3V^{2+}_{0.5}V^{3+}_{1.5}P_3O_{11.5}F_{0.5}$;
 35 $Li_3V^{2+}_{1.0}V^{3+}_{1.0}P_3O_{11.0}F_{1.0}$; $Li_3Mn^{2+}_{0.5}V^{3+}_{1.5}P_3O_{11.0}F_{0.5}$.

6. The battery of claim 1 wherein M' and M" are the same transition metal or are different transition metals.

5 7. The battery of claim 1 wherein at least one of M' and M" is selected from the group of transition metals.

10 8. The battery of claim 1 wherein M' and M" are metals or metalloids independently selected from the group consisting of: V, Fe, Mn, Ti, Cr, Co, Ni, Cu, Mo, Al, Mg, Ca, B, Zn, Sn.

15 9. The battery of claim 1 wherein said positive electrode active material is represented by the formula $Li_3M'^{(2-b)}M''_bP_3O_{12-c}F_c$; M' and M" each have a valence state which is the same or different, where said valence state is +2 or +3.

20 10. The battery of claim 1 wherein said positive electrode active material is characterized by deintercalating lithium ions during charging cycle of said battery; said negative electrode active material characterized by intercalating said deintercalated lithium ions during said charging cycle, and by subsequent deintercalation of lithium ions during discharge cycle; and said positive electrode active material further characterized by reintercalating said discharge cycle lithium ions.

25 30 11. An electrochemical cell having an electrode which comprises an active material represented by the following formula:

35 $Li^{+1}_{(a-x)} MI^d_{(2-b)} MII^e_b P^{+5}_3 O^{-2}_{12-c} Z^{-1}_c$;

(A) where each superscript value represents the oxidation states of respective elements in a first condition, $x = 0$:

5 Superscript +1 is the oxidation state of one atom of Li (lithium),

Superscript d is the oxidation state of one atom of MI,

Superscript e is the oxidation state of one atom of MII,

10 Superscript -1 is the oxidation state of one atom of Z which is a halogen,

Superscript +5 is the oxidation state of one atom of P (phosphorus) and in the case of P₃ constitutes a total of 15,

15 Superscript -2 is the oxidation state of one atom of O (oxygen);

(B) MI and MII are the same or different and are each elements independently selected from the group of metal and metalloid elements;

(C) a, c, d and e are each greater than zero; d and e are each at least one; $0 \leq b \leq 2$; c is less than 12; and where a, b, c, d and e fulfill the requirement: $(a \times 1) + ((2 - b) \times d) + (b \times e) + 15 = (1 \times c) + ((12 - c) \times 2)$; and

(D) in a second condition represented by said formula with $0 < x \leq a$, and in said second condition, said oxidation state of MI is represented by d' and said oxidation state of MII is represented by e', said amount X of Li is removed from said compound, accompanied by a change in oxidation state of at least one of said MI and MII, according to $((2-b) \times (d' - d)) + (b(e' - e)) = X$; where $d' \geq d$ and $e' \geq e$; and where d, d', e, and e' are each less than or equal to 8.

12. The battery of claim 11 wherein the halogen is F (fluorine).

13. The cell according to claim 11 wherein d 5 and e are each at least 2, $0 \leq b \leq 2$, and d, d', e, and e' are each less than or equal to 6.

14. The cell according to claim 11 wherein d, d', e and e' are each less than or equal to 7; and at 10 least one of the following two conditions are met: (1) $d' > d$ and (2) $e' > e$.

15. The cell according to claim 11 wherein MI and MII are each independently selected from the group 15 consisting of: V, Fe, Mn, Ti, Cr, Co, Ni, Cu, Mo, Al, Mg, Ca, B, Zn, Sn.

16. An electrode having an active material in a first condition represented by the formula $Li_{3-x}E'(2-b)E''_bP_3O_{12-c}F_c$, $x = 0$, $0 \leq b \leq 2$, $0 < c < 12$; where at least 20 one of E' and E'' is an element selected from the group consisting of metals and metalloids; and E' and E'' are the same or different from one another; and in a second condition by said formula where $0 < x \leq 3$; and where at 25 least one of E' and E'' has an oxidation state higher than its oxidation state in said first condition.

17. An electrode which comprises an active material, represented by the nominal general formula 30 $Li_aM'(2-b)M''_bP_3O_{12-c}Z_c$, $0 \leq b \leq 2$, $0 < c < 12$, a is greater than zero and selected to represent the number of Li atoms to balance said formula; where M' and M'' are each 35 elements selected from the group consisting of metal and metalloid elements, and said M' and M'' are the same or different from one another; and where Z is a halogen.

18. The electrode of claim 17 wherein at least one of M' and M" is selected from the group of transition metals, and Z is F (fluorine).

5 19. The electrode of claim 17 wherein M' and M" are each independently selected from the group consisting of transition metals, and Z is F (fluorine).

10 20. An electrode which comprises an active material, represented by the nominal general formula $Li_aM'(2-b)M''_bSi_yP_{3-y}O_{12-c}Z_c$, $0 \leq b \leq 2$, $0 < c < 12$, $0 \leq y < 3$, a is greater than zero and selected to represent the number of Li atoms to balance said formula; where M' and M" are each elements selected from the group consisting of metal and metalloid elements, 15 and said M' and M" are the same or different from one another; and where Z is a halogen.

20 21. The electrode of claim 20 wherein at least one of M' and M" is selected from the group of transition metals, and Z is F (fluorine).

22. The electrode of claim 20 where a is 3.

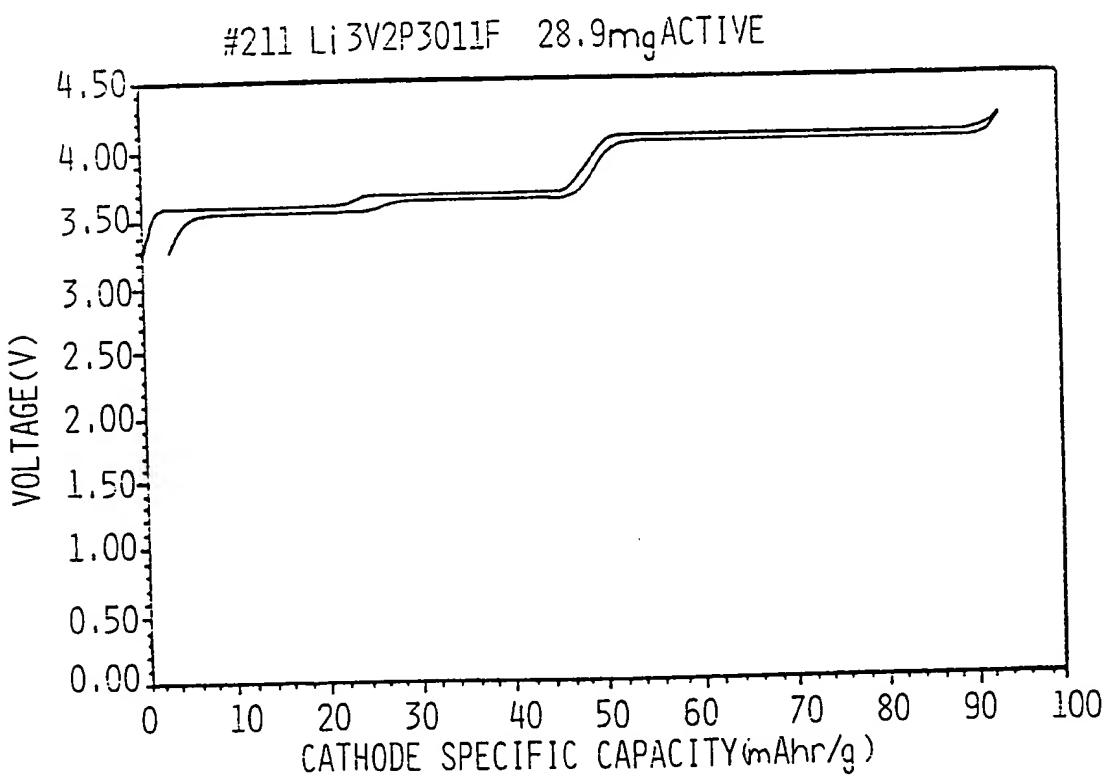
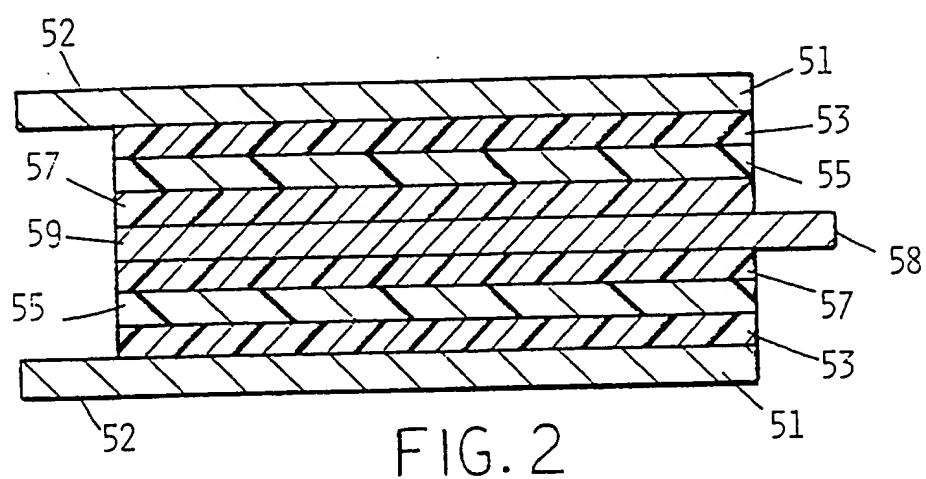
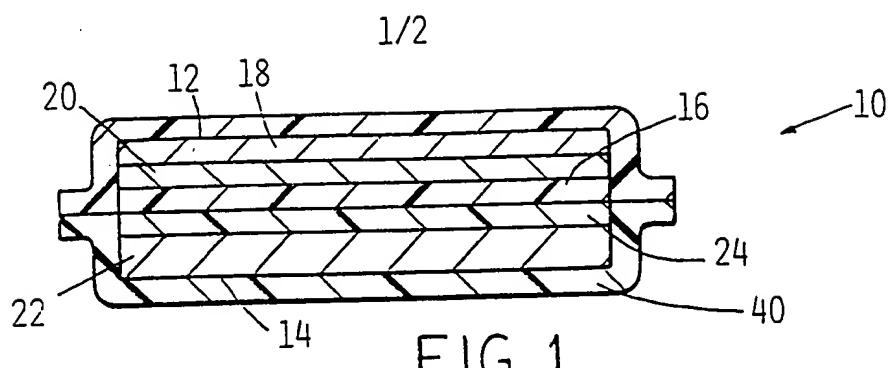


FIG. 3

2/2

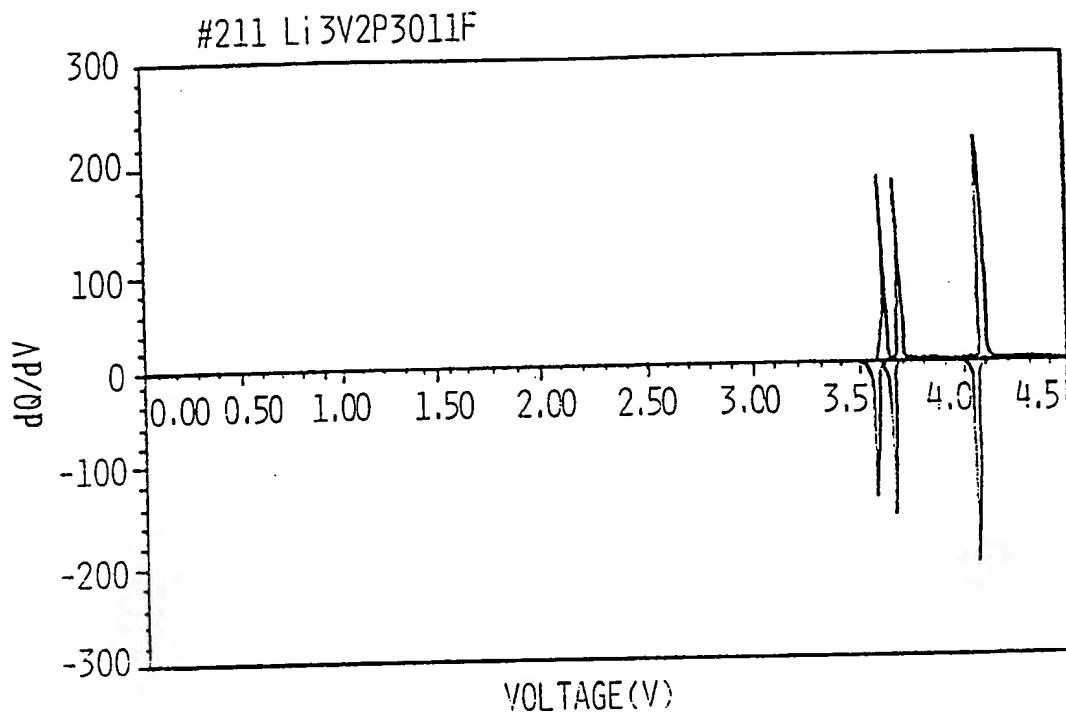


FIG. 4

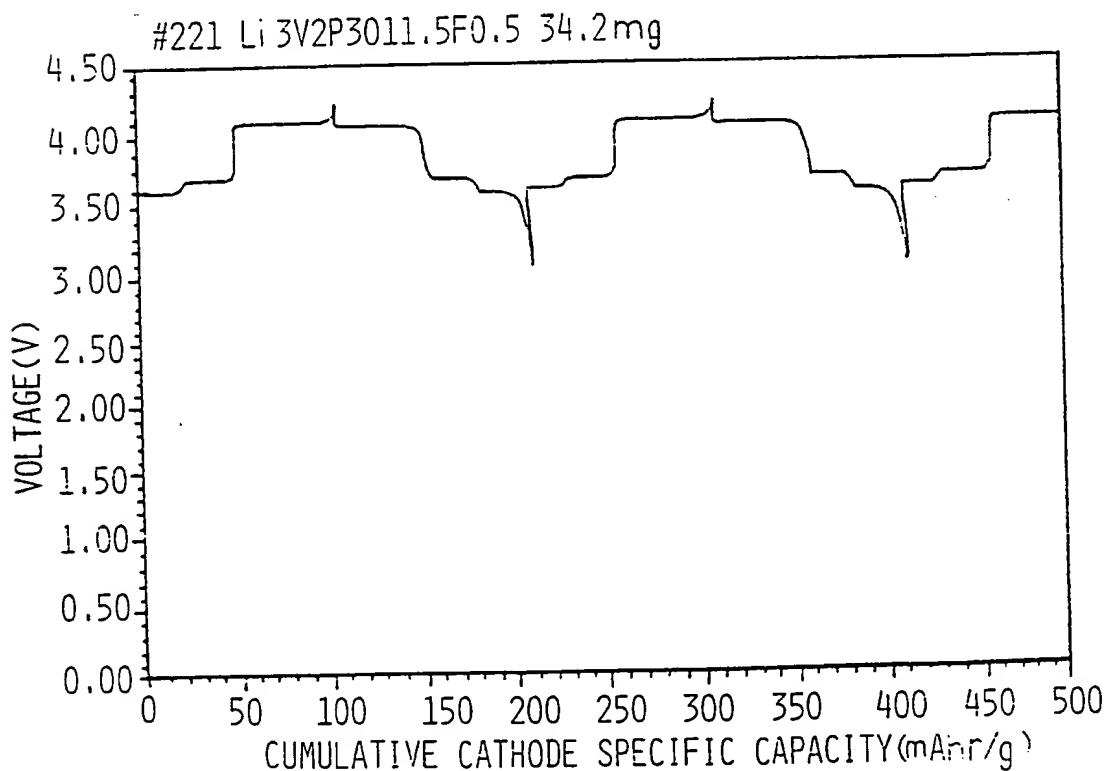


FIG. 5

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 00/04401

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 H01M4/58 H01M4/48 C01B25/30

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 H01M C01B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, CHEM ABS Data, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 98 12761 A (SAIDI MOHAMED YAZID ;BARKER JEREMY (US); VALENCE TECHNOLOGY INC (U) 26 March 1998 (1998-03-26) claims 1,3,15-17 -----	1,11,16, 17,20
A	US 5 674 645 A (TARASCON JEAN-MARIE ET AL) 7 October 1997 (1997-10-07) claim 1 -----	1,11,16, 17,20

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the International filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the International filing date but later than the priority date claimed

T later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

& document member of the same patent family

Date of the actual completion of the International search

31 July 2000

Date of mailing of the International search report

07/08/2000

Name and mailing address of the ISA
European Patent Office, P.B. 5818 Patenttaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3018

Authorized officer

Andrews, M

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/04401

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
WO 9812761	A 26-03-1998	US	5871866 A	16-02-1999
		AU	4410297 A	14-04-1998
		EP	0931361 A	28-07-1999
US 5674645	A 07-10-1997	AU	711516 B	14-10-1999
		CN	1229528 A	22-09-1999
		EP	0947012 A	06-10-1999